



**AAHRPP and  
the Flexibility Coalition:  
Working Together for a Common Cause**

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## What is the Association for the Accreditation of Human Research Protection Programs, Inc. (AAHRPP)?

**Global non-profit organization founded in 2001**

- Offers accreditation to organizations that conduct, review, or manage research involving human participants
- Seeks to identify quality programs; promote innovative practices; and, ensure regulatory compliance

## How Does Accreditation Work and How Can it Promote Efficiency?

- Accreditation is a 2-step process
  - Written policies and procedures are peer reviewed
  - Site visit team ensures policies and procedures are followed and understood by the research community
  - Organization indicates the regulations they will follow
    - e.g. ICH-GCP (E6), DoD, FDA, EPA
- **AAHRPP advocates flexibility among accredited organizations**
  - Promoting equivalent protections (flexibility) after organization demonstrates a robust program
  - Unchecking “the box” allows non-federally funded research to be “flexed”

## AAHRPP Supports the Flexibility Coalition!



## What's Going On & Emerging Issues...

- AAHRPP Standards and the Regulations
- NPRM/Final Rule
- Encouraging Innovations
- Reaccreditation Tips
- Key Factors in a Successful Program

## AAHRPP and the Regs: Filling the Void

- Where the regulations and federal guidance exists, AAHRPP will NOT expect you to go beyond the regulations
- AAHRPP fills the void when:
  - The regulations are silent
  - the regulations are “skeletal” and no Federal guidance

### AAHRPP Standards

- 90% of AAHRPP Standards operationalize regulations
- Other Standards promote quality agreed upon by stakeholders
  - Evaluation of IRB members and chairs
  - Required quality improvement activities and quality assurance activities (compliance)
  - Sponsor's commitment (through clinical trial agreements) to sharing information with IRBs
  - IRB membership
    - Minimum attendance requirement of unaffiliated member
    - One member with the perspective of research participants

### Getting into Shipshape: AAHRPP Accreditation Standards



AAHRPP process is outcomes-based → many possible avenues to achieve shared goal:  
Protection of the health and welfare of research volunteers, whose participation makes the whole enterprise possible

### AAHRPP and the NPRM

- We are working on our comments, like the rest of you!
- We will support changes that address issues of importance to the Flex Coalition

### Final Rule?

- If and when a Final Rule comes out, AAHRPP will seek input from the Flexibility Coalition, our AAHRPP Council, and accredited organizations on creative ways to update our standards to reflect the Final Rule.

### Final Rule: AAHRPP Implementation and Compliance

- We will give ample time for revisions and updates
- We will continue to develop mechanisms for you to keep AAHRPP apprised of your changes
- Send us your ideas on how to ensure timeline compliance with the new regs and developing your SOPs
- Compliance Enforcement: Generous leeway from AAHRPP in using "enforcement discretion"

### AAHRPP: Encouraging Innovation and Creativity

- Tell us about your innovations: creative ways to meet AAHRPP standards (plan for website postings with permission)
- Don't reinvent the wheel: SHARE!

## Reaccreditation: Tips and Tricks



ACREDITATION

### Be careful about what you check on the Step 1 application. If you don't do it, don't check it!

<p><b>Does your Organization:</b> (check all that apply)</p> <p><input type="checkbox"/> Have its own IRB(s) or EC(s) – # of IRB(s) or EC(s) =</p> <p>Number of meetings per IRB or EC per month =</p> <p><input type="checkbox"/> Rely on external IRB(s) or EC(s):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> for some but &lt; 10% of total protocols</li> <li><input type="checkbox"/> for some but ≥ 10% of total protocols</li> <li><input type="checkbox"/> for all (100%) of total protocols</li> <li><input type="checkbox"/> for multi-site clinical trials only</li> </ul> <p>Provide the percentage that rely only on AAHRPP-accredited IRBs or ECs</p> <p><b>In the most recent 12 months, has your Organization actively conduct, manage or does the IRB or EC review planned emergency research without consent per 21 CFR 31.247?</b></p> <p><input type="checkbox"/> Yes    <input type="checkbox"/> No</p>	<p><b>What regulations/guidance pertaining to human research apply to your <u>active</u> protocols:</b> (check all that apply)</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> US Department of Defense</li> <li><input type="checkbox"/> US Department of Education</li> <li><input type="checkbox"/> US Department of Energy</li> <li><input type="checkbox"/> US Department of Health and Human Services</li> <li><input type="checkbox"/> US Department of Justice</li> <li><input type="checkbox"/> US Department of Veterans Affairs</li> <li><input type="checkbox"/> US Environmental Protection Agency</li> <li><input type="checkbox"/> US Food and Drug Administration</li> <li><input type="checkbox"/> State and local laws</li> <li><input type="checkbox"/> ICH-Good Clinical Practice Guideline (IE)</li> <li><input type="checkbox"/> ICH-Good Clinical Practice as adopted by US FDA</li> <li><input type="checkbox"/> ICH-Good Clinical Practice as into your country's law</li> <li><input type="checkbox"/> Country specific regulations and laws (outside of the US) - Specify</li> </ul>
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### Tips on Preparing for Reaccreditation

- Keep your application current
- Have a backup
- Start your reassessment in plenty of time to meet the deadline for renewal
- Communicate constantly and effectively
- Save time for formatting

### More Tips...

- Focus on SOPs that have changed since your last cycle
  - In general: rebuttable presumption that already approved SOPs are OK
- Look at the feedback you received
  - Status Reports
  - DSVR
  - Close-out Visit

### More tips...

- Site Visit
  - Ensure all staff are trained (especially new staff); focus on new/updated/changed SOPs or SOPs based on regulatory changes

Remember:

Create/Fix/Change  
Educate  
Monitor/Measure/Evaluate

### Key Factors in Having a Successful HRPP

**IRB Performance:**

- Following the Regulations/Laws/Standards
- Communication
- Collaboration
- Continuous Quality Improvement

## AAHRPP 2.0: A Commitment to Improvement

### What's ahead:

- Feedback/Satisfaction Survey
- Form Update
- Continued Training of our Site Visitors
- "Reaccreditation with Distinction" (not just for initial accreditation)
- Overhaul of Reaccreditation Process for "Legacy" Organizations

## What AAHRPP Accreditation Can Do for Your Organization. . .

- Accreditation standards can evolve more readily than regulations
- Can become a type of "safe harbor" or bench marking for compliance
- Can promote global standards
- **Can encourage flexibility within a robust human protection environment**
- Can promote collaboration through mutual trust and therefore less duplication of effort

## Stay Flexible!



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